

FORM - L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: Septmeber 30, 2011

Name of the Fund Life Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs. Lakhs

No.	Category of Investment	Category Code	Current Quarter					Year to Date					Previous Year				
			Investment (Rs.) Book Value	Investment (Rs.) Market Value	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) Book Value	Investment (Rs.) Market Value	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) Book Value	Investment (Rs.) Market Value	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	1,021	985	19	7.4	7.4	1,021	985	37	7.4	7.4	1,021	994	75	7.3	7.3
2	Central Government Bonds	CGSB	7,525	7,329	126	7.7	7.7	7,525	7,329	234	7.5	7.5	5,633	5,541	370	7.6	7.6
3	Treasury Bills	CTRB	3,178	3,178	53	7.9	7.9	3,178	3,178	101	7.6	7.6	2,429	2,429	223	5.9	5.9
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	1,003	996	17	6.8	6.8	1,003	996	34	6.8	6.8	1,006	996	69	6.8	6.8
5	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	730	708	15	8.2	8.2	730	708	31	8.3	8.3	738	717	78	7.2	7.2
6	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	500	498	10	7.9	7.9	500	498	19	7.6	7.6	497	491	37	7.4	7.4
7	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	0.0	-	-	-	-	-	-	-	-	4	-	-
8	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	50	52	1	11.8	11.8	50	52	1	11.8	11.8	-	-	13	4.1	4.1
9	Infrastructure - PSU - Debentures / Bonds	IPTD	3,074	3,070	59	8.8	8.8	3,074	3,070	99	8.5	8.5	1,964	1,923	165	8.6	8.6
10	Infrastructure - PSU - CPs	IPCP	-	-	-	0.0	-	-	-	4	7.9	7.9	-	-	11	2	2
11	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	575	575	70	50.0	50.0	575	575	107	32.2	32.2	959	959	84	11.3	11.3
12	PSU - Equity shares - Quoted	EAEQ	94	94	10	34.8	34.8	94	94	11	18.2	18.2	145	145	37	31.3	31.3
13	Commercial Papers	ECCP	-	-	-	0.0	-	-	-	-	-	-	-	-	33	5.0	5.0
14	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	2,460	2,460	37	9.8	9.8	2,460	2,460	57	9.8	9.8	660	660	31	6.4	6.4
15	Deposits - Repo / Reverse Repo	ECMR	-	-	-	0.0	-	-	-	-	-	-	-	-	2	-	-
16	Deposits - CDs with Scheduled Banks	EDCD	2,603	2,603	54	9.7	9.7	2,603	2,603	117	9.5	9.5	3,864	3,864	111	8.7	8.7
17	Corporate Securities - Bonds - (Taxable)	EPBT	1,018	1,005	24	9.2	9.2	1,018	1,005	46	9.0	9.0	1,025	1,012	79	9.8	9.8
18	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	240	240	9	8.7	8.7	240	240	16	8.4	8.4	-	-	0	-	-
19	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	35	35	1	6.5	6.5	35	35	2	11.9	11.9	21	21	1	8.3	8.3
20	Equity Shares (incl Co-op Societies)	OESH	91	91	1	-10.3	-10.3	91	91	1	4.7	4.7	20	20	28	106.3	106.3
21	Debentures	OLDB	340	352	5	13.3	13.3	340	352	5	13.3	13.3	-	-	-	-	-
22	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	0.0	-	-	-	-	-	-	150	150	23	8.0	8.0
23	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	0	0	3	8.7	8.7	0	0	4	8.7	8.7	0	0	46	6	6
24	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	0.0	-	-	-	-	-	-	-	-	3	1.9	1.9
	TOTAL		24,535	24,270	512			24,535	24,270	926			20,132	19,922	1,520		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Mr. Sandeep Nanda

Chief Investment Officer

Note: Category of Investment (COI) shall be as per Guidelines

- 1 To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments
- 2 Yield netted for Tax
- 3 FORM-1 shall be prepared in respect of each fund.

Date:

Signature

Note: Category of Investment (COI) shall be as per Guidelines

- 1 To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments*
- 2 Yield netted for Tax*
- 3 FORM-1 shall be prepared in respect of each fund.*

Mr. Sandeep Nanda
Chief Investment Officer

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: Septmeber 30, 2011

Name of the Fund Pension / General Annuity Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs. Lakhs

No.	Category of Investment	Category Code	Current Quarter					Year to Date					Previous Year				
			Investment (Rs.) Book Value	Investment (Rs.) Market Value	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) Book Value	Investment (Rs.) Market Value	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) Book Value	Investment (Rs.) Market Value	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Bonds	CGSB	11	11	0.2	8.4	8.4	11	11	0.4	8.2	8.2	1	1	0	0	0
3	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
5	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
8	Infrastructure - PSU - Debentures / Bonds	IPTD	1	1	0.0	11.1	-	1	1	0.0	11.1	11.1	-	-	-	-	-
9	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10	PSU - Equity shares - Quoted	EAEQ	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
11	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
12	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	6	6	0.1	9.9	9.9	6	6	0.1	9.9	9.9	-	-	-	-	-
13	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
14	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
15	Corporate Securities - Bonds - (Taxable)	EPBT	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
16	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
17	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
18	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
19	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
20	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		18	18	0.4			18	18	0.6			1	1	0		

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Mr. Sandeep Nanda

Chief Investment Officer

Note: Category of Investment (COI) shall be as per Guidelines

¹ To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments² Yield netted for Tax³ FORM-1 shall be prepared in respect of each fund.

Note: Category of Investment (COI) shall be as per Guidelines

- 1 To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments*
- 2 Yield netted for Tax*
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Mr. Sandeep Nanda
Chief Investment Officer

FORM - L-34

Company Name & Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: Septmeber 30, 2011

Name of th Unit Linked Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs. Lakhs

No.	Category of Investment	Category Code	Current Quarter					Year to Date					Previous Year				
			Investment (Rs.) Book Value	Investment (Rs.) Market Value	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) Book Value	Investment (Rs.) Market Value	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) Book Value	Investment (Rs.) Market Value	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
A	GOVERNMENT SECURITIES																
	Central Government Bonds	CGSB	3,342	3,342	49	6.5	6.5	3,342	3,342	36	2.5	2.5	2,692	2,692	122	6.7	6.7
	Treasury Bills	CTRB	622	622	15	7.7	7.7	622	622	26	7.6	7.6	183	183	9	6.0	6.0
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES																
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	1,232	1,232	17	5.9	5.9	1,232	1,232	18	3.4	3.4	948	948	54	8.7	8.7
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT																
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-	1	-	-
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	1,419	1,419	25	10.0	10.0	1,419	1,419	43	8.9	8.9	907	907	26	4.3	4.3
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	489	489	12	10.1	10.1	489	489	22	9.2	9.2	487	487	9	2.1	2.1
D	INFRASTRUCTURE INVESTMENTS																
	Infrastructure - PSU - Debentures / Bonds	IPTD	1,184	1,184	39	12.9	12.9	1,184	1,184	63	10.3	10.3	1,230	1,230	45	5.7	5.7
	Infrastructure/ Social Sector - Other Corporate Securities (approved investments) - Debentures/ Bonds	ICTD	468	468	24	46.0	46.0	468	468	24	46.0	46.0	-	-	-	-	-
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS																
	PSU - Equity shares - Quoted	EAEQ	11,117	11,117	1,796	56.3	56.3	11,117	11,117	2,930	47.0	47.0	11,862	11,862	1,318	10.6	10.6
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	85,823	85,823	10,939	50.2	50.2	85,823	85,823	12,104	27.5	27.5	90,038	90,038	8,362	12.4	12.4
	Corporate Securities - Bonds - (Taxable)	EPBT	675	675	18	9.9	9.9	675	675	33	9.3	9.3	720	720	61	12.6	12.6
	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,075	1,075	18	9.8	9.8	1,075	1,075	32	9.6	9.6	825	825	75	6.0	6.0
	Deposits - CDs with Scheduled Banks	EDCD	7,831	7,831	197	9.4	9.4	7,831	7,831	402	9.5	9.5	8,523	8,523	147	6.9	6.9
	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	261	261	6	9.8	9.8	261	261	12	9.3	9.3	252	252	11	4.4	4.4
	Commercial Papers	ECCP	198	198	4	8.6	8.6	198	198	8	8.3	8.3	190	190	6	4.0	4.0
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	189	189	23	8.8	8.8	189	189	46	8.7	8.7	511	511	12	1.8	1.8
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	-	-	-	-	-	2	1.2	1.2
	Net Current Assets (Only in respect of ULIP Business)	ENCA	3,008	3,008	-	-	-	3,008	3,008	-	-	-	3,806	3,806	-	-	-
F	OTHER INVESTMENTS																
	Equity Shares (incl Co-op Societies)	OESH	10,578	10,578	804	32.1	32.1	10,578	10,578	405	8.5	8.5	8,754	8,754	453	6.4	6.4
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	185	185	43	7.7	7.7
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	4,429	4,429	307	29.4	29.4	4,429	4,429	57	3.5	3.5	1,808	1,808	59	5.6	5.6
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	98	98	1	8.6	8.6	98	98	2	8.5	8.5	0	0	14	11.0	11.0
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	0	0	-	-	-	0	0	-	-	-	0	0	0	0.7	0.7
	TOTAL		134,038	134,038	13,396			134,038	134,038	14,730			133,922	133,922	9,924		

CERTIFICATION

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Date:

Signature: _____

Mr. Sandeep Nanda
Chief Investment Officer

Note : Category of information (COI) shall be as per Guidelines

1 To be calculated based on Monthly or lesser frequency Weighted Agerage of Investment

2 Yield netted for Tax

3 FORM-1 shall be prepared in respect of each fund