

## FORM - L-34

Company Name &amp; Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: March 31, 2013

Name of the Fund Life Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(Rs in Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	1,010	19	7.5	7.5	1,010	74	7.3	7.3	1,021	75	7.3	7.3
2	Central Government Bonds	CGSB	16,180	310	8.3	8.3	16,180	1,079	8.3	8.3	11,800	602	7.8	7.8
3	Treasury Bills	CTRB	-	1	7.7	7.7	-	105	8.3	8.3	2,138	190	7.9	7.9
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	67	6.8	6.8
5	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	2,329	33	9.4	9.4	2,329	137	9.4	9.4	1,202	74	8.1	8.1
6	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	-	-	-	-	-	-	-	-	-	24	7.5	7.5
7	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
8	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
9	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	550	15	10.7	10.7	550	37	10.8	10.8	50	3	10.5	10.5
10	Infrastructure - PSU - Debentures / Bonds	IPTD	3,631	82	9.2	9.2	3,631	331	9.1	9.1	3,726	236	8.8	8.8
11	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	4	-	-	-	4	0	1.0	1.0	11	0	10.8	10.8
12	Infrastructure - PSU - Equity shares - Quoted	ITPE	157	5	15.5	15.5	157	8	11.5	11.5	22	2	22.1	22.1
13	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	4	7.9	7.9
14	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	1,462	89	19.7	19.7	1,462	240	24.9	24.9	257	167	29.9	29.9
15	PSU - Equity shares - Quoted	EAEQ	332	15	13.0	13.0	332	18	10.6	10.6	41	19	17.1	17.1
16	Commercial Papers	ECCP	-	-	-	-	-	7	9.4	9.4	-	12	9.2	9.2
17	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	4,305	111	10.8	10.8	4,305	398	10.5	10.5	3,307	207	10.0	10.0
18	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
19	Corporate Securities - Debentures	ECOS	443	10	9.6	9.6	443	20	9.5	9.5	-	-	-	-
20	Deposits - CDs with Scheduled Banks	EDCD	200	5	12.0	12.0	200	94	10.3	10.3	3,102	190	9.5	9.5
21	Corporate Securities - Bonds - (Taxable)	EPBT	1,196	22	8.9	8.9	1,196	90	8.9	8.9	1,013	92	9.0	9.0
22	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	-	17	8.6	8.6	-	76	9.1	9.1	292	42	9.0	9.0
23	Mutual Funds - (under Insurer's Promoter Group)	EMPG	587	2	6.7	6.7	587	3	7.1	7.1	-	2	11.0	11.0
24	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	5	2,714.6	2,714.6	-	6	7.2	7.2	48	2	4.4	4.4
25	Equity Shares (incl Co-op Societies)	OESH	178	4	5.5	5.5	178	74	42.7	42.7	975	24	12.9	12.9
26	Debentures	OLDB	340	10	11.7	11.7	340	40	11.7	11.7	340	25	12.0	12.0
27	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
28	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	2	8.6	8.6	-	15	9.6	9.6	550	14	9.0	9.0
29	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	2	8.7	8.7	-	2	8.7	8.7	-	-	-	-
	<b>TOTAL</b>		<b>32,904</b>	<b>752</b>			<b>32,904</b>	<b>2,855</b>			<b>29,895</b>	<b>2,071</b>		

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature

Mr. Sandeep Nanda

Chief Investment Officer

Note: Category of Investment (COI) shall be as per Guidelines

1 To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments

2 Yield netted for Tax

3 FORM-1 shall be prepared in respect of each fund.

**FORM - L-34**

Company Name & Code:               Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: March 31, 2013

Name of the Fund Pension / General Annuity Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(Rs in Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>
1	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	Central Government Bonds	CGSB	91	2	8.6	8.6	91	5	8.5	8.5	49	1	8.3	8.3
3	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	-	-	-	-
4	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
5	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
6	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
7	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	-	-	-	-	-	-	-	-	-	-	-	-
8	Infrastructure - PSU - Debentures / Bonds	IPTD	1	0	10.4	10.4	1	0	10.4	10.4	1	0	10.6	10.6
9	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	-	-	-	-	-	-	-	-	-	-	-	-
10	PSU - Equity shares - Quoted	EAEQ	-	-	-	-	-	-	-	-	-	-	-	-
11	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
12	Deposits - Deposit with Scheduled Banks, Fls (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	28	1	10.7	10.7	28	3	10.4	10.4	8	1	10.0	10.0
13	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
14	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-
15	Corporate Securities - Bonds - (Taxable)	EPBT	-	-	-	-	-	-	-	-	-	-	-	-
16	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
17	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
18	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
19	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
20	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
<b>TOTAL</b>			<b>120</b>	<b>2.6</b>			<b>120</b>	<b>7.9</b>			<b>58</b>	<b>1.7</b>		

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Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature \_\_\_\_\_

**Note:** Category of Investment (COI) shall be as per Guidelines

<sup>1</sup> To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments

<sup>2</sup> Yield netted for Tax

<sup>3</sup> FORM-1 shall be prepared in respect of each fund.

Mr. Sandeep Nanda

Chief Investment Officer

## FORM - L-34

Company Name &amp; Code: Bharti AXA Life Insurance Company Ltd. (0130)

Statement as on: March 31, 2013

Name of the Unit Linked Fund

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(Rs in Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>
<b>A</b>	<b>GOVERNMENT SECURITIES</b>													
	Central Government Bonds	CGSB	10,770	271	9.6	9.6	10,770	858	10.5	10.5	4,657	198	5.6	5.6
	Treasury Bills	CTRB	694	13	7.9	7.9	694	63	8.1	8.1	1,042	56	7.8	7.8
<b>B</b>	<b>GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES</b>													
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	1,360	33	9.7	9.7	1,360	172	12.7	12.7	1,322	60	5.1	5.1
<b>C</b>	<b>HOUSING &amp; LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT</b>													
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	1,563	38	9.8	9.8	1,563	178	11.5	11.5	1,243	104	9.3	9.3
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	498	10	8.2	8.2	498	49	9.8	9.8	490	44	9.0	9.0
<b>D</b>	<b>INFRASTRUCTURE INVESTMENTS</b>													
	Infrastructure - PSU - Debentures / Bonds	IPTD	4,518	97	9.6	9.6	4,518	302	10.9	10.9	1,397	122	9.7	9.7
	Infrastructure/ Social Sector - Other Corporate Securities (approved investments) - Debentures/ Bonds	ICTD	484	12	10.0	10.0	484	60	12.6	12.6	470	49	17.0	17.0
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	5	1	62.8	62.8	5	37	14.5	14.5	5,129	114	8.5	8.5
	Infrastructure - PSU - Equity shares - Quoted	ITPE	4,103	501	44.5	44.5	4,103	129	3.0	3.0	4,104	450	58.0	58.0
<b>E</b>	<b>APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS</b>													
	PSU - Equity shares - Quoted	EAEQ	9,807	1,033	35.6	35.6	9,807	790	8.0	8.0	10,336	2,698	23.7	23.7
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	115,035	5,705	18.8	18.8	115,035	13,939	12.1	12.1	101,711	4,648	5.2	5.2
	Corporate Securities - Bonds - (Taxable)	EPBT	1,385	42	13.2	13.2	1,385	151	11.6	11.6	1,368	86	10.6	10.6
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	1,752	56	10.4	10.4	1,752	243	10.5	10.5	2,257	115	10.1	10.1
	Deposits - CDs with Scheduled Banks	EDCD	1,761	22	10.3	10.3	1,761	408	10.0	10.0	4,545	697	9.4	9.4
	Deposits - Repo / Reverse Repo	ECMR	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	677	21	8.9	8.9	677	56	10.9	10.9	271	23	9.0	9.0
	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	10	8.4	8.4
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	2,128	15	8.5	8.5	2,128	209	9.3	9.3	3,569	189	9.1	9.1
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	-	0	8.8	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	213	0	0.9	0.9	213	0	4.9	4.9	0	2	11.0	11.0
	Net Current Assets (Only in respect of ULIP Business)	ENCA	5,449	-	-	-	5,449	-	-	-	2,950	-	-	-
<b>F</b>	<b>OTHER INVESTMENTS</b>													
	Equity Shares (incl Co-op Societies)	OESH	10,596	996	34.7	34.7	10,596	189	1.9	1.9	8,325	496	5.4	5.4
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	2,729	245	32.4	32.4	2,729	697	22.9	22.9	4,443	665	16.8	16.8
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	480	9	8.4	8.4	480	60	9.2	9.2	681	43	9.3	9.3
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	0	-	-	-	0	-	-	-	0	-	-	-
	<b>TOTAL</b>		<b>176,007</b>	<b>7,844</b>			<b>176,007</b>	<b>15,542</b>			<b>160,310</b>	<b>6,147</b>		

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature: \_\_\_\_\_

Mr. Sandeep Nanda

Chief Investment Officer

Note : Category of information (COI) shall be as per Guidelines

1 To be calculated based on Monthly or lesser frequency Weighted Agerage of Investment

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