

Form L-35 - Downgrading of Investments

Name of the Insurer: Bharti AXA Life Insurance Company Limited

Registration Number: 130

Statement as on: December 31, 2016

Statement of Down Graded Investments

Periodicity of Submission: Quarterly



Name of Fund \_\_\_\_\_ Life Fund \_\_\_\_\_

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> <sup>1</sup>								
NIL									
B.	<u>As on Date</u> <sup>2</sup>								
	<u>9.55% HINDALCO DB 25-04-2022</u>	OLDB	1,194	10-Dec-14	CRISIL	AA	AA-	3-Aug-15	
	<u>9.55% HINDALCO DB 27-06-2022</u>	OLDB	30	4-Oct-12	CRISIL	AA+	AA	26-Jun-14	
	<u>9.55% HINDALCO DB 27-06-2022</u>	OLDB	30	4-Oct-12	CRISIL	AA	AA-	3-Aug-15	
	<u>9.60% HINDALCO DB 02-08-2022</u>	OLDB	396	11-Jul-14	CRISIL	AA	AA-	3-Aug-15	
	<u>11.00% BOI DB 08-08-2114</u>	EUPD	500	8-Aug-14	CRISIL	AAA	AA+	14-Feb-16	
	<u>11.00% BOI DB 08-08-2114</u>	ODCI	500	8-Aug-14	CRISIL	AA+	AA-	1-Apr-16	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Signature \_\_\_\_\_

Date: 31-Dec-16

Mr. Rajeev Kumar

Chief of Finance

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

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Registration Number: 130

Statement as on: December 31, 2016

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund Pension - General Annuity Fund

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> <sup>1</sup>								
<b>NIL</b>									
<b>NIL</b>									
B.	<u>As on Date</u> <sup>2</sup>								
<b>NIL</b>									
<b>NIL</b>									

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Signature

Mr. Rajeev Kumar

Chief of Finance

Date: 31-Dec-16

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Periodicity of Submission: Quarterly



Name of Fund \_\_\_\_\_

Linked Fund \_\_\_\_\_

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> <sup>1</sup>								
<b>NIL</b>									
B.	<u>As on Date</u> <sup>2</sup>								
	9.55% HINDALCO DB 27-06-2022	OLDB	671	04-Oct-12	CRISIL	AA+	AA	26-Jun-14	
	9.55% HINDALCO DB 27-06-2022	OLDB	671	04-Oct-12	CRISIL	AA	AA-	03-Aug-15	

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Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Signature \_\_\_\_\_

Date: 31-Dec-16

Mr. Rajeev Kumar

Chief of Finance

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